

Lagrangian Based Approaches for Lexicalized Tree Adjoining Grammar Parsing

Caio Corro

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Syntax: description of structures in natural languages

She walks the dog in the

Syntax: description of structures in natural languages

PRP

|
She

VB

|
walks

DET

|
the

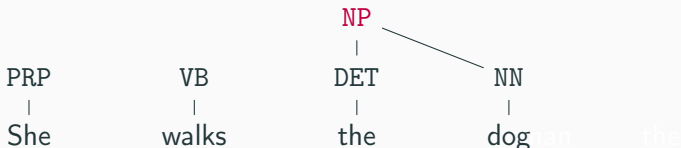
NN

|
dog | an | the

Syntactic analysis

- **Part-of-speech tagging:** assign a category to each a lexical item

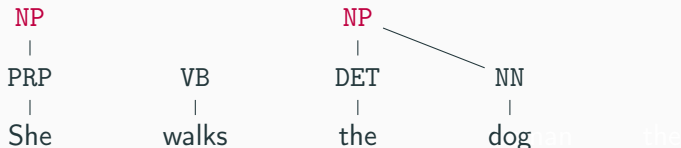
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- **Part-of-speech tagging:** assign a category to each a lexical item
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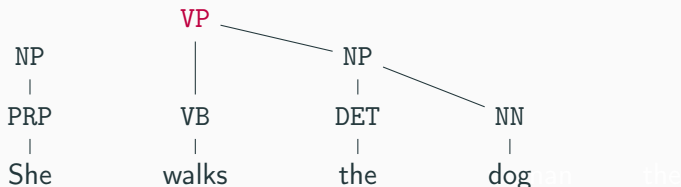
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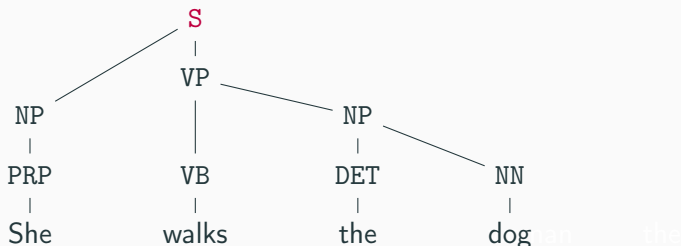
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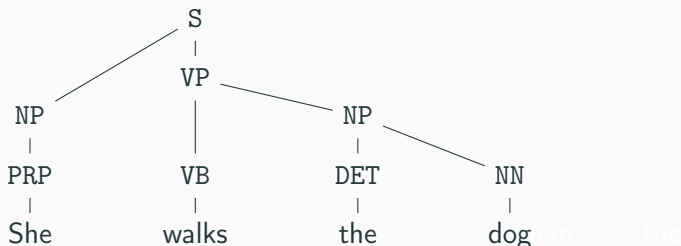
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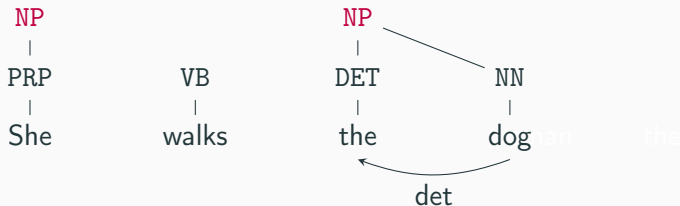
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PRP	VB	DET	NN	IN	DT
She	walks	the	dog	and	the

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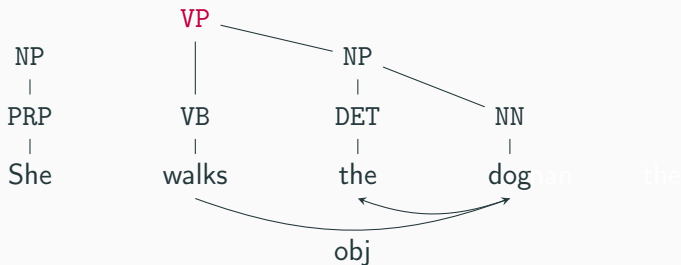
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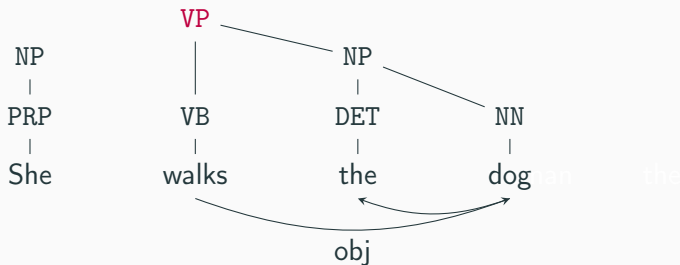
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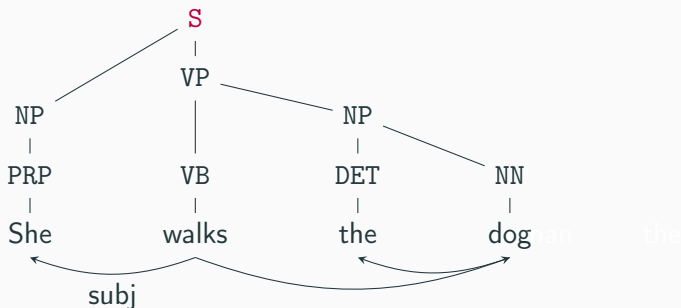
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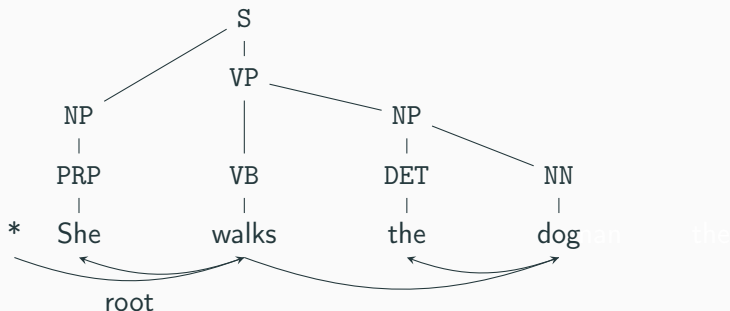
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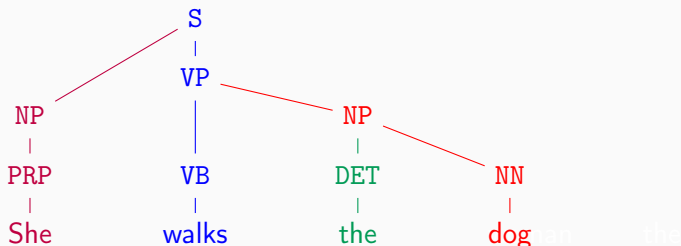
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Syntactic parsing

Parsing problem

Compute the best syntactic analysis for a given sentence

- **Input:** sentence
- **Output:** constituency/dependency structure

Usual algorithmic trade-off

- Exhaustive search with optimality certificate (dynamic program, ...)
- Heuristic without quality certificate (greedy/beam search, ...)

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Lagrangian relaxation

- Heuristic with quality/optimality certificate
- Guided exhaustive search

Syntactic analysis

Lexicalized Tree adjoining Grammar

- (Rich) tags
- Constituency structure
- Bi-lexical relations

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Weighted grammar

- Disambiguation

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Weighted grammar

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Parsing complexity

- $\mathcal{O}(n^7)$ with n the sentence length

Syntactic analysis



Graph theory

Benefits of reduction

- Alternative approach to problems
- Bottleneck characterization
- Substantial literature

Examples

- Dependency parsing
 - ⇔ Spanning Arborescence
 - [McDonald et al. 2005]
- Translation
 - ⇔ Travelling Salesman Problem
 - [Zaslavskiy et al. 2009]

Scientific context

Syntactic analysis



Graph theory



**Integer Linear
Programming**

Declarative formulation

- y : syntactic structure
- $f(y)$: likelihood of the structure
- $g_i(y) \leq 0$: constraints on the structure

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Integer Linear Program

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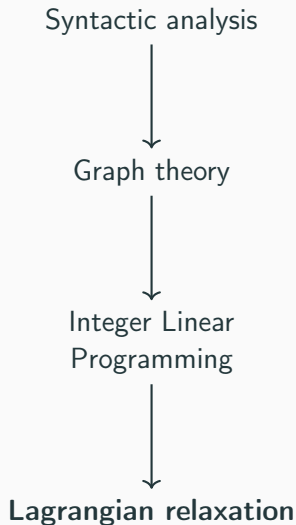
Integer Linear Program

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NLP Examples

Dependency parsing, model combination, semantic parsing ...

[Rush et al. 2010; Koo et al. 2010; Le Roux et al. 2013; Das et al. 2012]



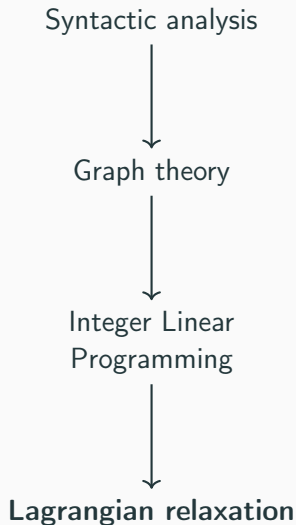
Difficult problem

$$\begin{aligned} \max_y \quad & f(y) \\ \text{s.t.} \quad & g_i(y) \leq 0 \quad \forall 1 \leq i \leq k \\ & h_i(y) \leq 0 \quad \forall 1 \leq i \leq l \end{aligned}$$

Intuition

Difficult problem because of $h_i(y)$

⇒ use soft penalties in the objective instead



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What we get

- Bounds on the original problem
- Possibly an optimality certificate

Syntactic analysis

LTAG derivation
tree parsing

Joint tagging
and parsing

Scientific context

Syntactic analysis



Graph theory

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YRMSA

Joint tagging
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GMSA

Scientific context

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Graph theory



Integer Linear Programming

LTAG derivation tree parsing



YRMSA



Non-compact program

Joint tagging and parsing

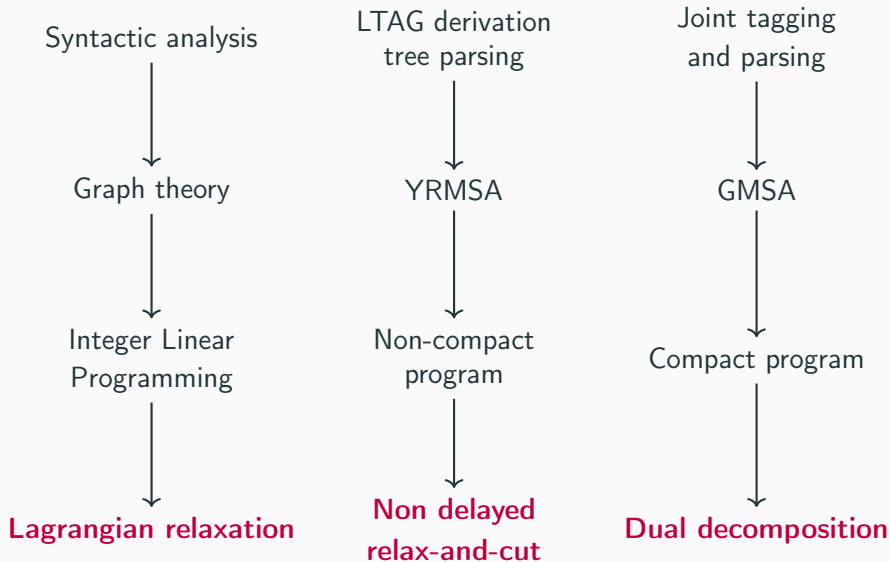


GMSA



Compact program

Scientific context



1. Lexicalized Tree Adjoining Grammar Parsing
2. Efficient parsing with Lagrangian relaxation
3. A dependency-like LTAG parser
4. Joint Tagging and Dependency Parsing
5. Conclusion

1. Lexicalized Tree Adjoining Grammar Parsing

Motivations

- Mildly context-sensitive formalism
- Linguistically plausible
- Semantics

Lexicalized Tree Adjoining Grammar (LTAG)

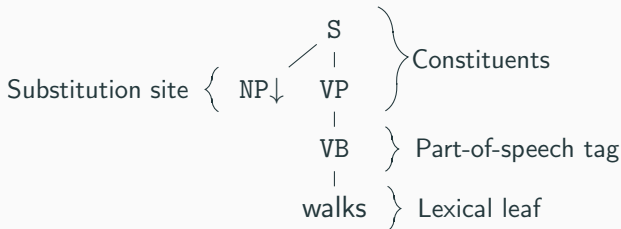
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Elementary tree

Extended part-of-speech tags with structural constraints

e.g. *A verb with a subject on its left-side*

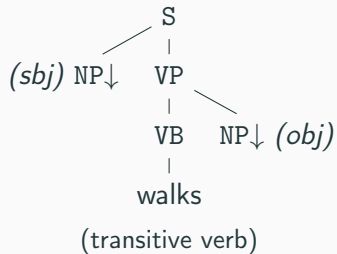
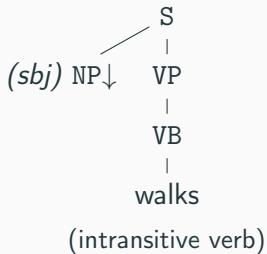


Example

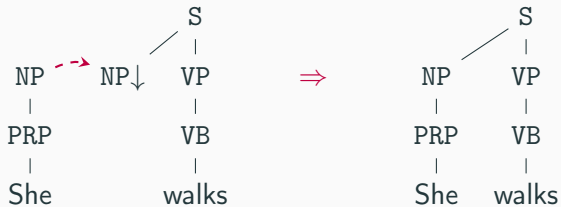
VB
|
walks
(verb)

Example

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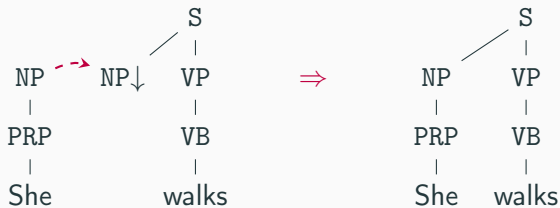


Elementary tree combination

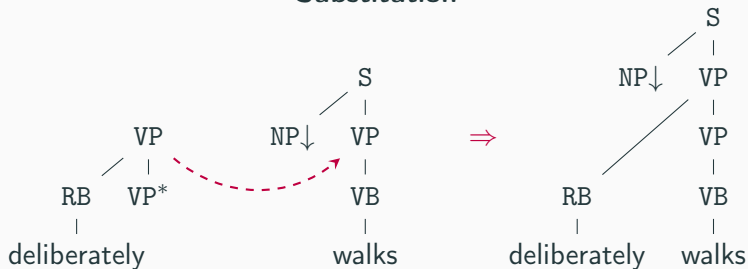


Substitution

Elementary tree combination



Substitution

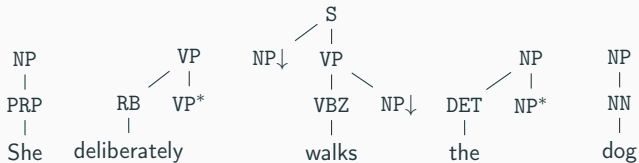


Adjunction

She deliberately walks the dog

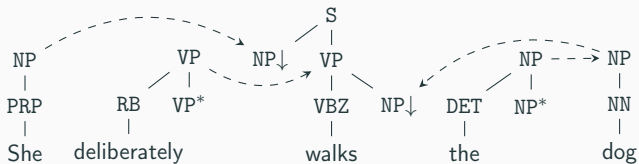
Bottom-up construction of the syntactic phrase structure

LTAG derivation tree



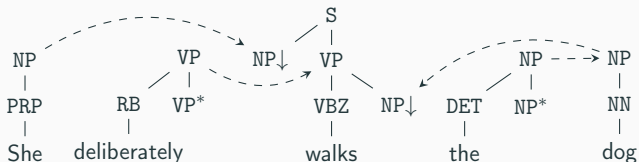
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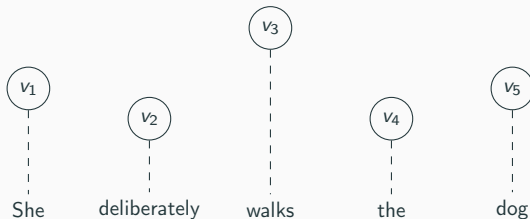


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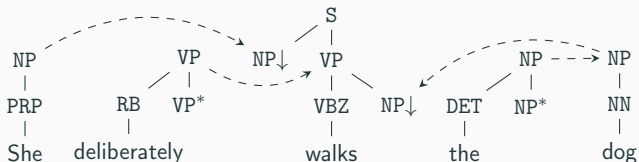


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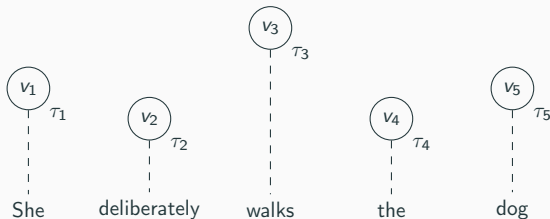


Representation alternative as a derivation tree [Rambow et al. 1997]

LTAG derivation tree

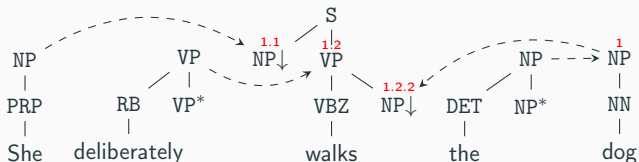


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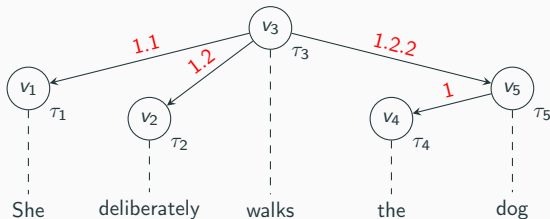


Representation alternative as a derivation tree [Rambow et al. 1997]

LTAG derivation tree



Bottom-up construction of the syntactic phrase structure



Representation alternative as a derivation tree [Rambow et al. 1997]

Weighted LTAG parsing

Weights

- Tag weights (elementary tree assignment)
- Dependency weights (combination operations)

Parsing goal

- Compute the syntactic structure of maximum weight

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Complexity [Eisner et al. 2000]

$\mathcal{O}(n^6 \max(n, g)t)$:

n : sentence length

t : maximum tree size

g : maximum ambiguity

$\Rightarrow \mathcal{O}(n^7)$ asymptotically w.r.t. the sentence length

2. Efficient parsing with Lagrangian relaxation

Integer Linear Programming

Integer Linear Program (ILP)

$$\begin{aligned} \max_y \quad & y^\top w && \text{(maximize the weight of the structure } y) \\ \text{s.t.} \quad & Ay - b \leq 0 && \text{(constraints on the structure)} \end{aligned}$$

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Intuition

- Remove difficult constraints
- Introduce them as penalties in the objective
- Solve the new reparametrized problem iteratively

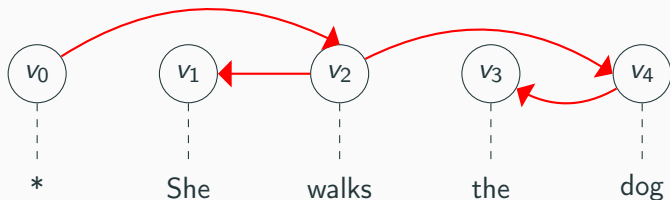
Example: dependency parsing

She walks the dog

Example: dependency parsing



Example: dependency parsing



Reduction

Dependency tree $\Leftrightarrow v_0$ -rooted spanning arborescence

i.e. a connected graph such that:

- v_0 : no incoming arc
- $v_1 \dots v_4$: exactly one incoming arc
- Acyclic

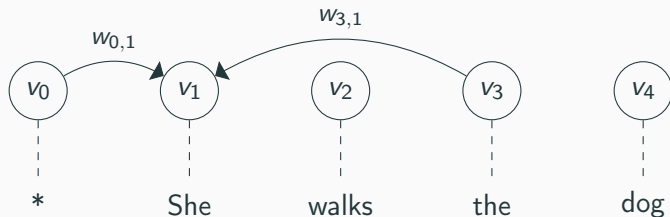
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Graph construction

1. Add arc candidates
2. Add arc weights

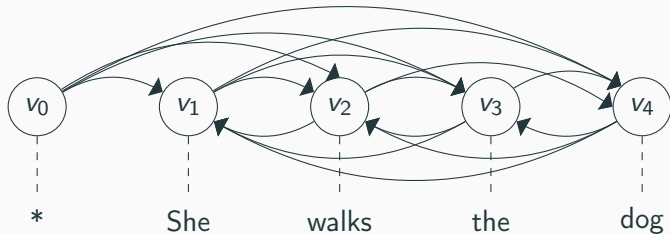
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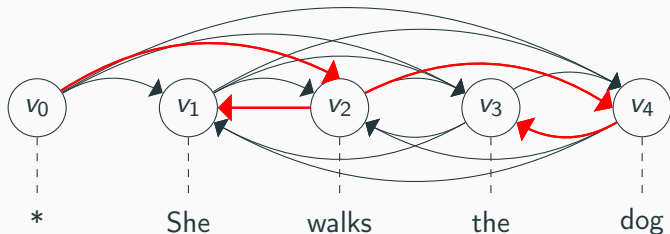
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3. Compute the spanning arborescence of maximum weight

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ILP formulation

- y : arc incidence vector ($y_a = 1$ iff arc a is selected)
- w : arc weight vector

$$\max_y y^\top w \quad (\text{arc-factored model})$$

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$$y \in \{0, 1\}^A \quad (\text{integrality})$$

Example: dependency parsing

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- w : arc weight vector

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Efficient decoding

- Generic solver: simplex, interior point method, ...
- Specialized algorithm: Maximum Spanning Arborescence
 $\mathcal{O}(n^2)$ [Edmonds 1967; Schrijver 2003; McDonald et al. 2005]

Lagrangian relaxation

Difficult constraints

Force each vertex to have at most k outgoing arc

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$$\max_y y^\top w$$

$$\text{s.t. } y \in \mathcal{Y}$$

(easy constraints)

Lagrangian relaxation

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$$\sum_{a \in \delta^+(v)} y_a \leq k \quad \forall v \in V \quad (\text{hard constraints})$$

Lagrangian relaxation

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$$\max_y y^T w$$

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Lagrangian relaxation

1. Relax difficult constraints as penalties in the objective
 $\lambda \geq 0$: vector of Lagrangian multipliers

Lagrangian relaxation

Difficult constraints

Force each vertex to have at most k outgoing arc

Lagrangian dual

$$\begin{aligned} \max_y \quad & y^\top w - \sum_{v \in V} \lambda_v \left(\sum_{a \in \delta^+ v} y_a - k \right) \\ \text{s.t.} \quad & y \in \mathcal{Y} \end{aligned} \quad (\text{easy constraints})$$

Lagrangian relaxation

1. Relax difficult constraints as penalties in the objective
 $\lambda \geq 0$: vector of Lagrangian multipliers
 \Rightarrow **Upper bound on the original problem**

Lagrangian relaxation

Difficult constraints

Force each vertex to have at most k outgoing arc

Lagrangian dual

$$\max_y y^\top w' \quad (+ \text{ constant term w.r.t. } y)$$

$$\text{s.t. } y \in \mathcal{Y} \quad (\text{easy constraints})$$

Lagrangian relaxation

1. Relax difficult constraints as penalties in the objective
 $\lambda \geq 0$: vector of Lagrangian multipliers
2. Rewrite the objective

Lagrangian relaxation

Difficult constraints

Force each vertex to have at most k outgoing arc

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Lagrangian relaxation

1. Relax difficult constraints as penalties in the objective
 $\lambda \geq 0$: vector of Lagrangian multipliers
2. Rewrite the objective
3. Minimize over λ

Lagrangian optimization

Lagrangian dual

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Optimization

- \max_y : easy (assumption) \Rightarrow MSA
- \min_{λ} : subgradient descent \Rightarrow loop over the maximization

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Heuristic

- Quality certificate
- Possible optimality certificate

Exhaustive search

- Branch-and-bound
- Exact pruning

Methodology

1. Graph characterization of LTAG-derivations
2. ILP formulation of the problem
3. Lagrangian based decoder

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Requirements for the ILP formulation

- Formulation as linear inequalities

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Requirements for the Lagrangian based decoder

- Relaxation with "nice" objective function
- Efficient algorithm that solve the relaxed problem

3. A dependency-like LTAG parser

Proposed approach



A dependency-like LTAG parser

1. LTAG compatible dependency parsing [Corro et al. 2016]
2. LTAG derivation tree labeler [Corro et al. 2017b]

Proposed approach



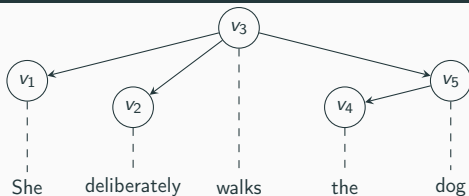
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3. Lagrangian based decoder

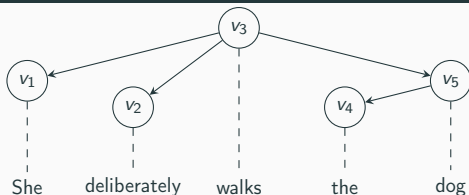
Dependency trees



Structural properties of dependency structures

Non-projective \longleftrightarrow Projective

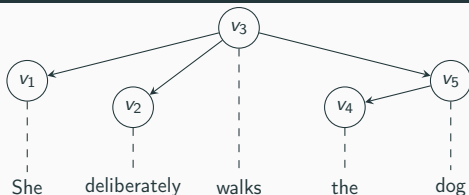
Dependency trees



Structural properties of dependency structures



Dependency trees



Structural properties of dependency structures



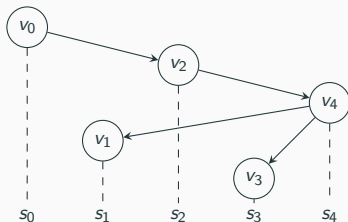
LTAG derivation tree [Bodirsky et al. 2009; Kuhlmann 2010]

- 2-Bounded Block Degree (2-BBD)
- Well-nested (WN)

Yield of a vertex v

Set of all vertices reachable from v

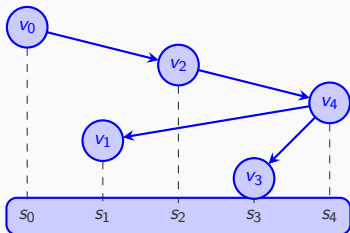
⇒ Required in order to defined structural properties



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Set of all vertices reachable from v

⇒ Required in order to defined structural properties

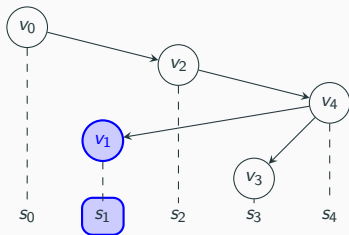


$$\text{Yield}(v_0) = \{v_0, v_1, v_2, v_3, v_4\}$$

Yield of a vertex v

Set of all vertices reachable from v

⇒ Required in order to defined structural properties



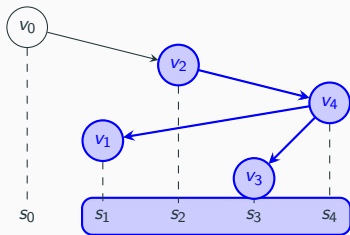
$$\text{Yield}(v_0) = \{v_0, v_1, v_2, v_3, v_4\}$$

$$\text{Yield}(v_1) = \{v_1\}$$

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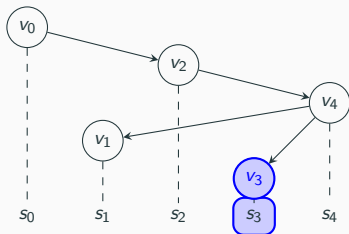
$$Yield(v_1) = \{v_1\}$$

$$Yield(v_2) = \{v_1, v_2, v_3, v_4\}$$

Yield of a vertex v

Set of all vertices reachable from v

⇒ Required in order to defined structural properties



$$Yield(v_0) = \{v_0, v_1, v_2, v_3, v_4\}$$

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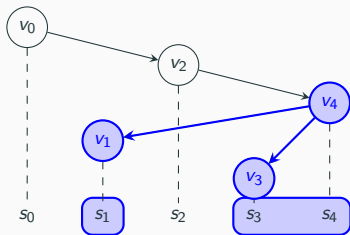
$$Yield(v_2) = \{v_1, v_2, v_3, v_4\}$$

$$Yield(v_3) = \{v_3\}$$

Yield of a vertex v

Set of all vertices reachable from v

⇒ Required in order to defined structural properties



$$Yield(v_0) = \{v_0, v_1, v_2, v_3, v_4\}$$

$$Yield(v_1) = \{v_1\}$$

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$$Yield(v_4) = \{v_3, v_4\}$$

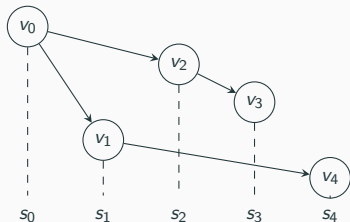
Contiguous yield

Block degree of a vertex

Minimum number of intervals needed to describe its yield

Contiguous yield

Yield which can be defined with a single interval



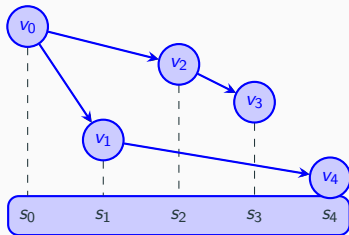
Contiguous yield

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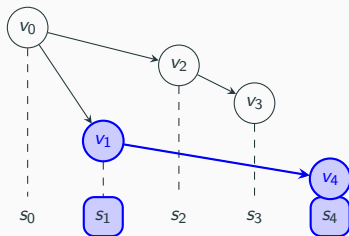
Contiguous yield

Block degree of a vertex

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$$\text{Yield}(v_1) = [v_1] \cup [v_4] \quad BD(v_1) = 2$$

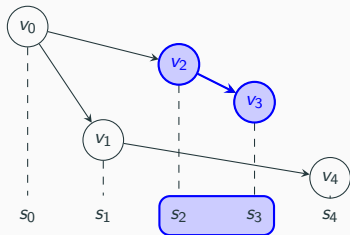
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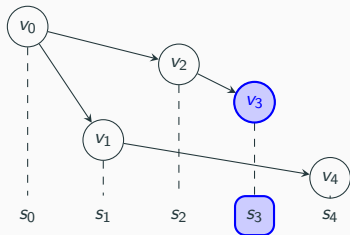
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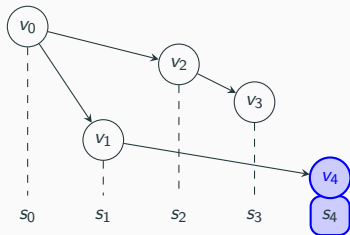
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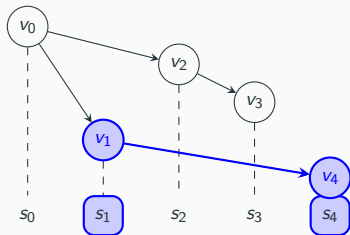
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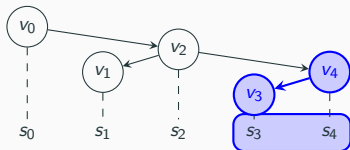
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Structural properties of dependency trees

Projective dependency tree

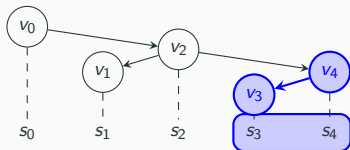
Arborescence with contiguous yields only



Structural properties of dependency trees

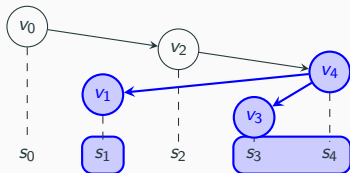
Projective dependency tree

Arborescence with contiguous yields only



Non-projective dependency tree

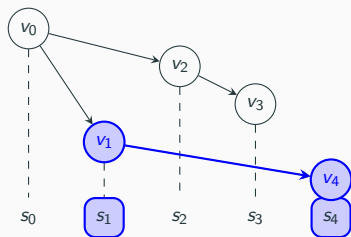
Arborescence with at least one non-contiguous yield



Structural properties (1/2): k-BBD

k-Bounded Block Degree (k-BBD)

- BD of a tree: the maximal block degree of its vertices
- k-BBD tree: tree with a BD less or equal to k



$$\text{Yield}(v_0) = [v_0 \dots v_4] \quad \text{BD}(v_0) = 1$$

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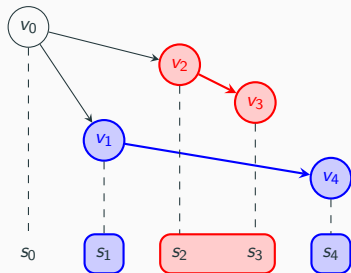
$$\text{Yield}(v_4) = [v_4] \quad \text{BD}(v_4) = 1$$

Tree of block degree 2

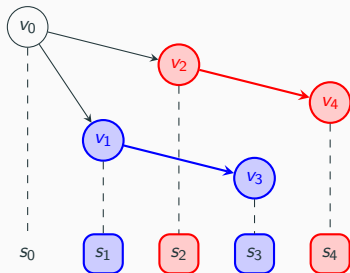
Structural properties (2/2): WN

Well-nestedness (WN)

- Interleaving sets $I_1 \cap I_2 = \emptyset$:
 $\exists i, j \in I_1$ and $k, l \in I_2$ such that $i < k < j < l$
- Well-nested tree: does not contain two vertices whose yields interleave
 \Rightarrow e.g. a yield cannot be inside and outside a gap



Well-nested tree



Not well-nested tree

Parsing algorithms

Complexity

Non-projective	$\mathcal{O}(n^2)$	[McDonald et al. 2005]
Projective	$\mathcal{O}(n^3)$	[Eisner 2000]
WN + 2-BBD	$\mathcal{O}(n^7)$	[Gómez-Rodríguez et al. 2009]
WN + k-BBD, $k \geq 2$	$\mathcal{O}(n^{5+2(k-1)})$	[Gómez-Rodríguez et al. 2009]
k-BBD, $k \geq 2$	NP-complete	[Satta 1992]

Remark

Same complexity as LTAG parsing :(

Contribution

- ILP formulation of the problem
- Solver based on Lagrangian relaxation

k-Bounded Block Degree Constraint

Definition

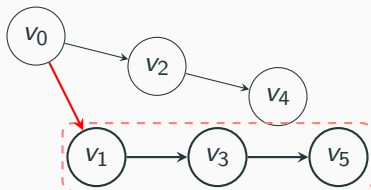
$\mathcal{W}^{\geq k+1}$: vertex subsets describing at least $k + 1$ intervals

k-Bounded Block Degree Constraint

Definition

$\mathcal{W}^{\geq k+1}$: vertex subsets describing at least $k + 1$ intervals

Example with $k = 2$ and $[v_1] \cup [v_3] \cup [v_5] \in \mathcal{W}^{\geq 3}$



Not 2-BBD

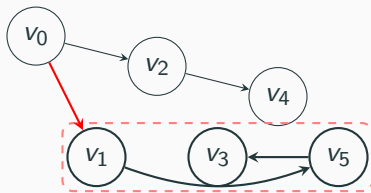
\rightarrow : incoming/outgoing arcs to the vertex subset $[v_1] \cup [v_3] \cup [v_5]$

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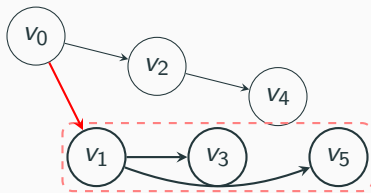
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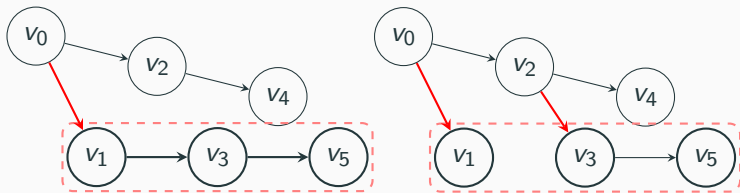
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Not 2-BBD

2-BBD

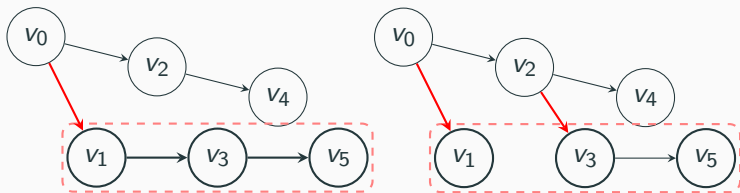
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k-Bounded Block Degree Constraint

Definition

$\mathcal{W}^{\geq k+1}$: vertex subsets describing at least $k + 1$ intervals

Example with $k = 2$ and $[v_1] \cup [v_3] \cup [v_5] \in \mathcal{W}^{\geq 3}$



Not 2-BBD

2-BBD

Constraint

$\forall W \in \mathcal{W}^{\geq k+1} \Rightarrow$ At least two incoming/outgoing arcs

Well-nestedness constraint

Notation

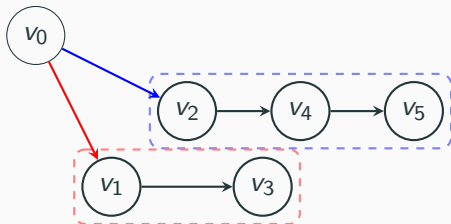
\mathcal{I} : family of pairs of disjoint interleaving vertex subsets

Well-nestedness constraint

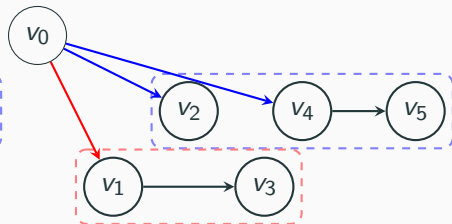
Notation

\mathcal{I} : family of pairs of disjoint interleaving vertex subsets

Example with $(\{1, 3\}, \{2, 4, 5\}) \in \mathcal{I}$



Not Well-nested



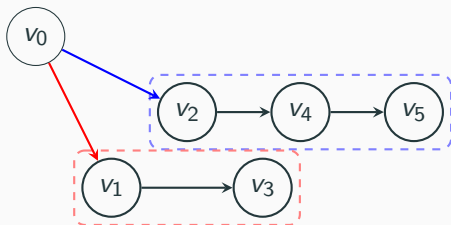
Well-nested

Well-nestedness constraint

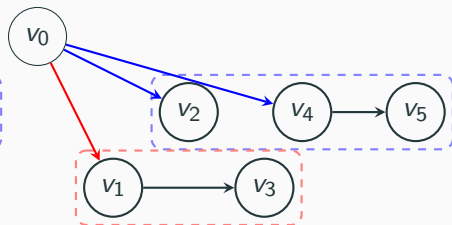
Notation

\mathcal{I} : family of pairs of disjoint interleaving vertex subsets

Example with $(\{1, 3\}, \{2, 4, 5\}) \in \mathcal{I}$



Not Well-nested



Well-nested

Constraint

For each couple $(I_1, I_2) \in \mathcal{I}$

\Rightarrow At least two incoming/outgoing arcs for I_1 or I_2

Full ILP: parsing with k-BBD and WN constraints

$$\begin{array}{ll} \max_y & y^\top w & \text{(Arc-factored)} \\ \text{s.t.} & y \in Y & \text{(Arborescence)} \\ & \sum_{a \in \delta(W)} y_a \geq 2 & \forall W \in \mathcal{W}^{\geq k+1} \text{ (k-BBD)} \\ & \sum_{a \in \delta(l_1)} y_a + \sum_{a \in \delta(l_2)} y_a \geq 3 & \forall (l_1, l_2) \in \mathcal{I} \text{ (WN)} \end{array}$$

Full ILP: parsing with k-BBD and WN constraints

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Problem

- MSA: k-BBD and WN constraints can not be integrated
- Generic solver: exponential number of constraints
- No efficient algorithm [Gómez-Rodríguez et al. 2009]

Full ILP: parsing with k-BBD and WN constraints

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Problem

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- Generic solver: exponential number of constraints
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Solving the ILP

⇒ Lagrangian Relaxation applied on k-BBD/WN constraints

Lagrangian Dual Problem

$$\min_{\lambda \geq 0} \max_{y \in Y} f(y, \lambda)$$

Efficient minimization of the dual

- Max: Maximum Spanning Arborescence
- Min: Subgradient descent
- Many relaxed constraints: Non Delayed Relax-and-Cut

Efficient maximization of the primal

- Branch-and-Bound
- Problem reduction (exact pruning technique)

Problem of existing LTAG treebanks

- Projective derivation trees only
- Derivation forest

Problem of existing LTAG treebanks

- Projective derivation trees only
- Derivation forest

Dependency treebanks

Language	Structure of 99% of trees
English	WN + 2-BBD
German	3-BBD
Dutch	WN + 3-BBD
Spanish	WN + 2-BBD
Portuguese	WN + 3-BBD

⇒ just test on dependency treebanks!

Experimental setup

Weighting model

Feature-based model learned with the perceptron algorithm

Goals

- decoding time?
- accuracy?

Experimental setup

Weighting model

Feature-based model learned with the perceptron algorithm

Goals

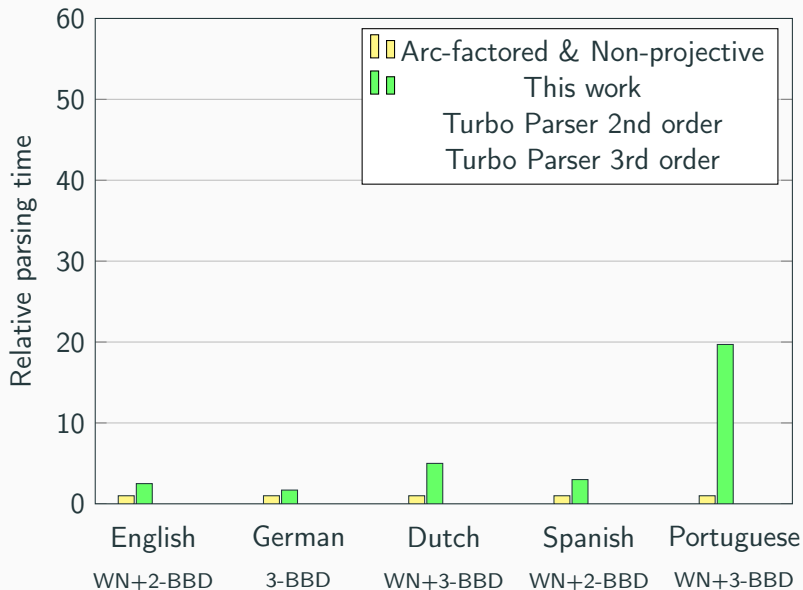
- decoding time?
- accuracy?

Turboparser [Martins et al. 2013]

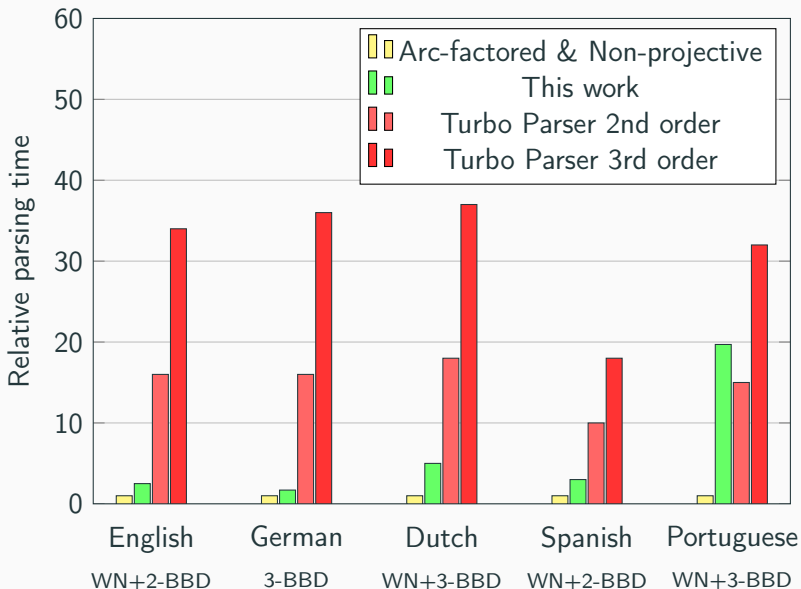
	English	German	Dutch	Spanish	Portuguese
	WN+2-BBD	3-BBD	WN+3-BBD	WN+2-BBD	WN+3-BBD
1st	94.87	98.74	93.26	93.43	94.79
2nd	99.75	99.28	97.93	98.54	98.96
3rd	99.75	99.24	97.41	99.64	98.98

Percentage of valid structure with respect to the weighting order

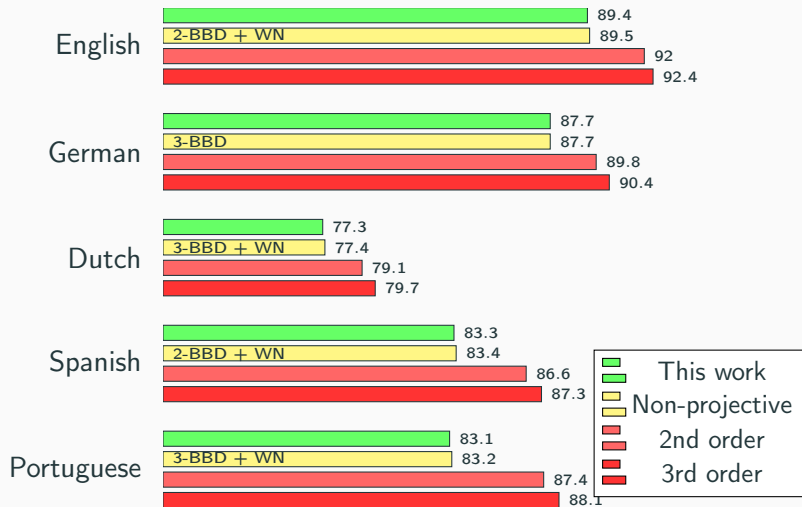
Efficiency: Relative parsing time



Efficiency: Relative parsing time



UAS (Ratio of correct arcs)



Our contribution

- First efficient and flexible algorithm:
 - k-BBD with arbitrary k
 - WN optional
- First experimental results with K-BBD and WN parsing
- Linear time algorithm LTAG parse labeller (see thesis)

Perspectives

- Applications of the algorithm to other structures (see thesis)
 - ⇒ Yield Restricted Maximum Spanning Arborescence

Limits of this approach

Pipeline issues

- Error propagation
- Possibly infeasible labelling

LTAG limits

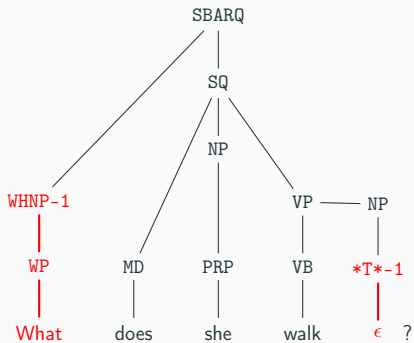
- No dataset
- Continuous constituents only

Proposal

- Joint tagging and parsing
- No LTAG motivated structural constraints

4. Joint Tagging and Dependency Parsing

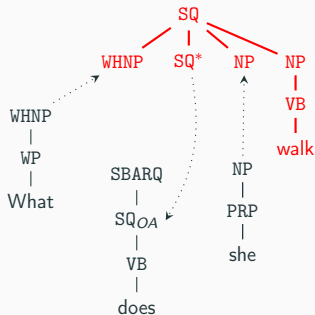
Discontinuous constituents



Motivation

- Traces usually ignored

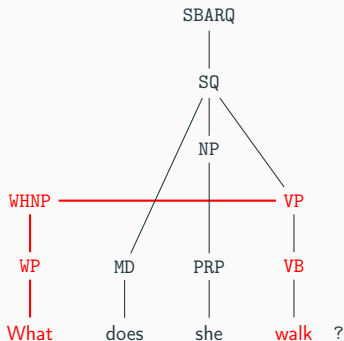
Discontinuous constituents



Motivation

- Traces usually ignored
- Difficult to automatically extract a LTAG

Discontinuous constituents



Motivation

- Traces usually ignored
- Difficult to automatically extract a LTAG
- Painless discontinuous transformation [Evang et al. 2011]

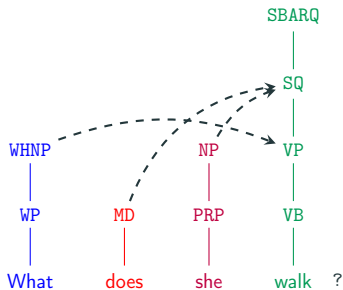
Joint tagging and dependency parsing

Problem

1. Assign one tag per lexical item
2. Assign one head per lexical item with arborescence constraints

Benefits

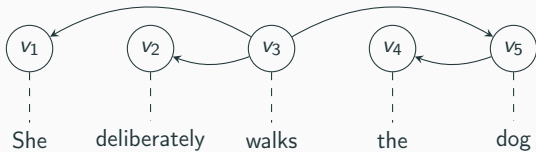
- Flexible composition mechanism
- Guaranteed feasible solution
- More expressive weighting factors



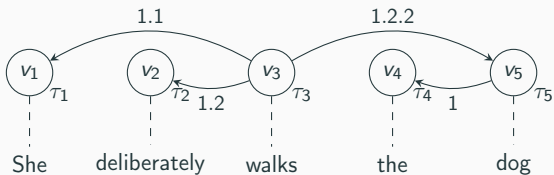
Example (1)



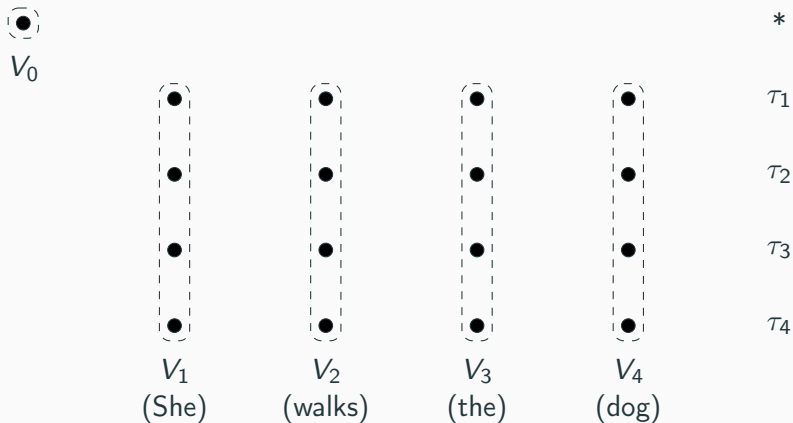
Example (1)



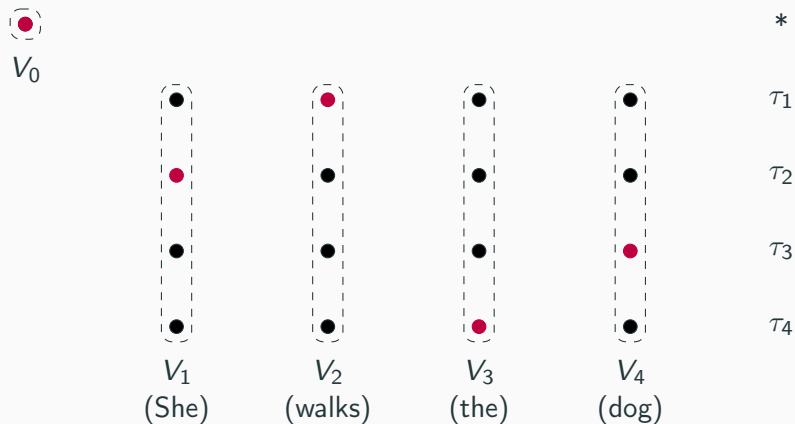
Example (1)



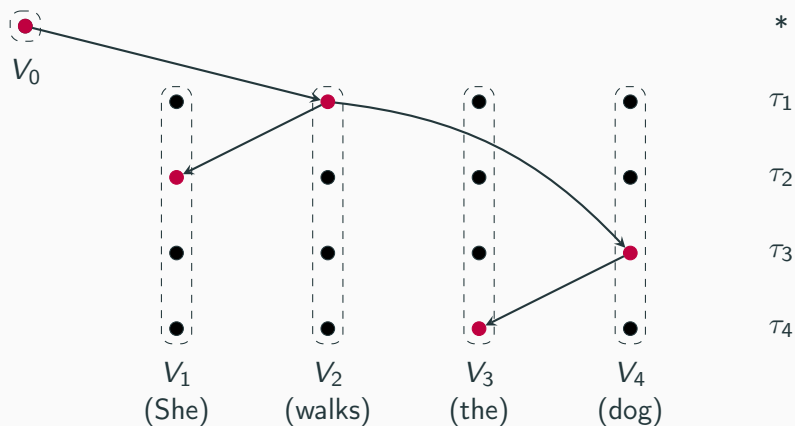
Example (2)



Example (2)



Example (2)



Generalized Maximum Spanning Arborescence (GMSA)

Reduction

- Word \Rightarrow Cluster
- Tag \Rightarrow vertex
- Attachment \Rightarrow arc

Complexity

NP-hard [Myung et al. 1995]

Generalized Maximum Spanning Arborescence (GMSA)

Reduction

- Word \Rightarrow Cluster
- Tag \Rightarrow vertex
- Attachment \Rightarrow arc

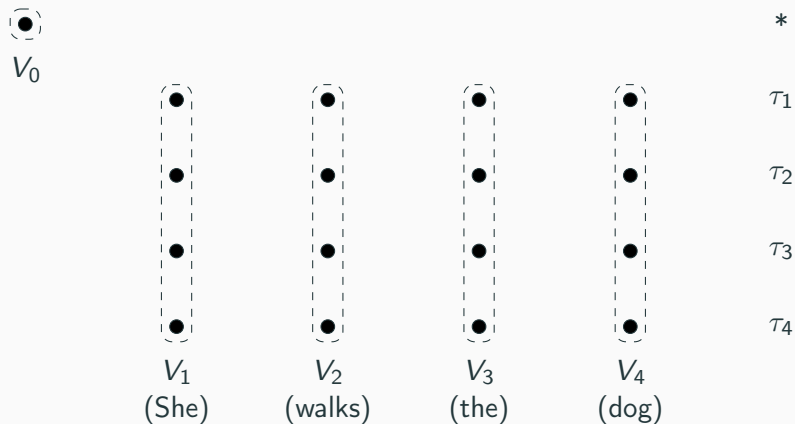
Complexity

NP-hard [Myung et al. 1995]

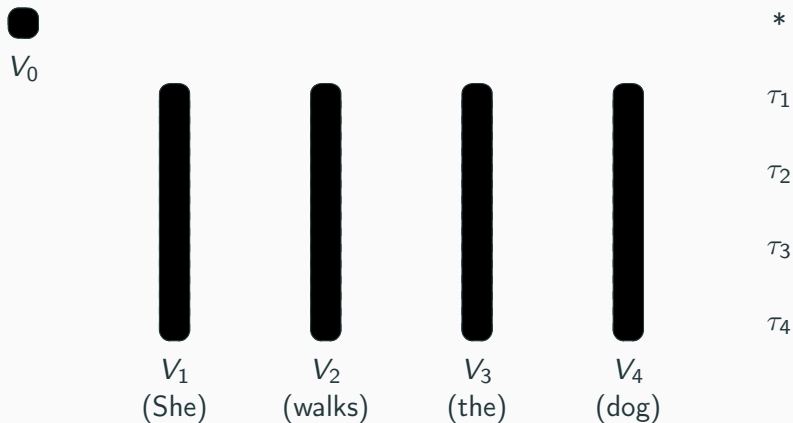
Methodology [Corro et al. 2017a]

1. Graph characterization of joint tagging and parsing
2. ILP formulation of the problem [Pop 2009]
3. Lagrangian based decoder (dual decomposition)

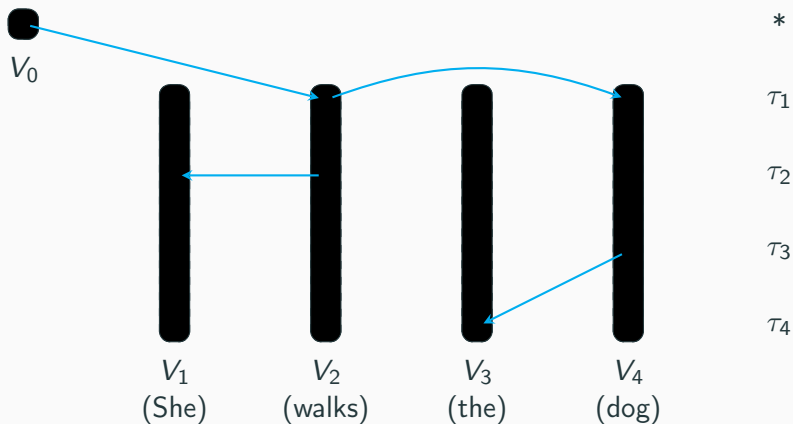
Sketch of the algorithm (1)



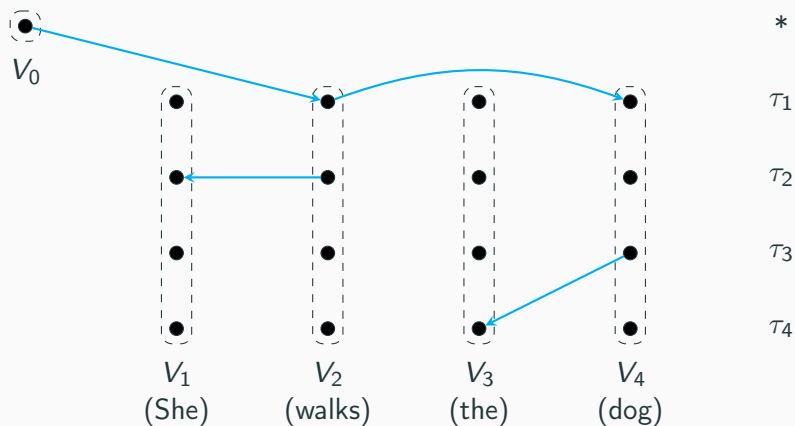
Sketch of the algorithm (1)



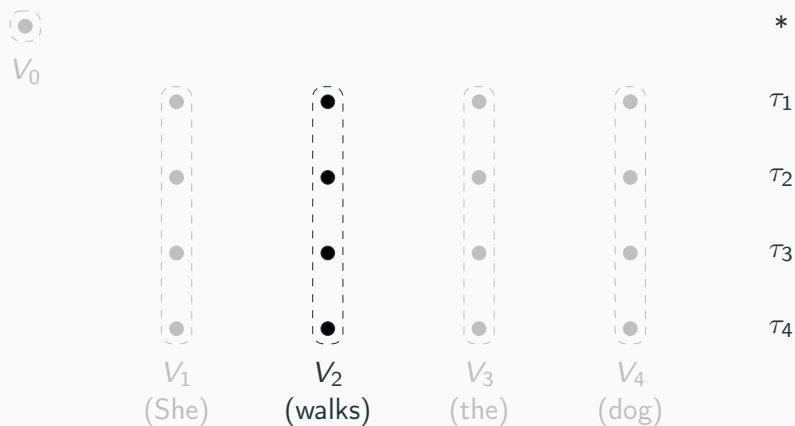
Sketch of the algorithm (1)



Sketch of the algorithm (1)



Sketch of the algorithm (2)



Lagrangian Dual Problem

$$\begin{aligned} \max_{y^1, y^2} \quad & f(y^1) + g(y^2) \\ \text{s.t.} \quad & y^1 = y^2 \end{aligned}$$

Lagrangian Dual Problem

$$\min_{\lambda^1, \lambda^2} \max_{y^1, y^2} f'(y^1, \lambda^1) + g'(y^2, \lambda^2)$$

Lagrangian Dual Problem

$$\min_{\lambda^1, \lambda^2} \max_{y^1, y^2} f'(y^1, \lambda^1) + g'(y^2, \lambda^2)$$

Efficient minimization of the dual

- Max: 2 subproblems
- Min: Subgradient descent

Lagrangian enhancement

- Arc re-weighting
- Problem reduction (exact pruning technique)

$$\begin{aligned} P(\mathbf{d}, \mathbf{t}|\mathbf{s}) &= P_\alpha(\mathbf{d}|\mathbf{s}) \times P_\nu(\mathbf{t}|\mathbf{d}, \mathbf{w}) \\ &= \prod_{(h,m) \in \mathbf{d}} P_\alpha(h|m, \mathbf{s}) \times P_\nu(t_m|m, \mathbf{d}, \mathbf{w}) \end{aligned}$$

Independence assumption

- P_α : head probability
- P_ν : tag probability conditioned on dependencies

Parameter estimation

- Neural network
- Log-likelihood maximization on train data

Discontinuous PTB (English)

	LF	Time (min)
Short sentences only		
This work	89.85	≈ 4
van Craenburgh et al.	87.00	≈ 180
Full test set		
This work	89.17	≈ 5.5

TIGER (German)

	LF	Time (min)
This work	81.63	≈ 11
Coavoux & Crabbé	81.60	≈ 2.5

Interim conclusion

Problem formulation

- Joint sequence tagging and non-projective dependency parsing

Contribution

- A novel approach for discontinuous constituent parsing
- A novel algorithm for the GMSA

Future work

- Max-margin training
- High-order scoring models:
 - bi-gram
 - sibling and grand-father
- Application to other joint tagging and parsing problems

5. Conclusion

Methodology

1. Graph characterization of a NLP problem
2. ILP formulation
3. Lagrangian based decoder

Alternative interpretation of syntactic structures

1. LTAG derivation tree
⇒ Yield Restricted Spanning Arborescence
2. Joint tagging and parsing
⇔ Generalized Spanning Arborescence

Conclusion: Research directions

In progress

- Joint part-of-speech tagging and dependency parsing
- High-order GMSA

Lexicalized grammars [Kuhlmann 2010]

- Lexicalized LCFRS

Applications outside NLP

- Standard optimization dataset
- Other applied research areas

Conclusion: Structured latent variables

Motivation

- Syntactic parsing: (most often) not an end in itself
- Annotation process: expensive

End-to-end learning

- Syntactic structure as a layer in a neural network
- Training for the end goal (e.g. translation)

Deep generative models [Kingma et al. 2014]

- Semisupervised/unsupervised structured learning
- Linguistically motivated priors

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